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Abstract:	<p>SubX is a multi-model subseasonal prediction experiment designed around operational requirements with the goal of improving subseasonal forecasts. Seven global models have produced seventeen years of retrospective (re-) forecasts and more than a year of weekly real-time forecasts. The re-forecasts and forecasts are archived at the Data Library of the International Research Institute for Climate and Society, Columbia University, providing a comprehensive database for research on subseasonal to seasonal predictability and predictions. The SubX models show skill for temperature and precipitation skill three week ahead of time in specific regions. The SubX multi-model ensemble mean is more skillful than any individual model overall. Skill in simulating the Madden-Julian Oscillation (MJO) and the North Atlantic Oscillation (NAO), two sources of subseasonal predictability, is also evaluated with skillful predictions of the MJO four weeks in advance and of the NAO 2 weeks in advance. SubX is also able to make useful contributions to operational forecast guidance at the Climate Prediction Center and to provide information on the potential for extreme precipitation associated with tropical cyclones which can help emergency management and aid organizations to plan for disasters.</p>
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The Subseasonal Experiment (SubX):

A multi-model subseasonal prediction experiment

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ABSTRACT

75 SubX is a multi-model subseasonal prediction experiment designed around
76 operational requirements with the goal of improving subseasonal forecasts.
77 Seven global models have produced seventeen years of retrospective (re-)
78 forecasts and more than a year of weekly real-time forecasts. The re-forecasts
79 and forecasts are archived at the Data Library of the International Research
80 Institute for Climate and Society, Columbia University, providing a compre-
81 hensive database for research on subseasonal to seasonal predictability and
82 predictions. The SubX models show skill for temperature and precipitation
83 skill three week ahead of time in specific regions. The SubX multi-model
84 ensemble mean is more skillful than any individual model overall. Skill in
85 simulating the Madden-Julian Oscillation (MJO) and the North Atlantic Os-
86 cillation (NAO), two sources of subseasonal predictability, is also evaluated
87 with skillful predictions of the MJO four weeks in advance and of the NAO
88 2 weeks in advance. SubX is also able to make useful contributions to op-
89 erational forecast guidance at the Climate Prediction Center and to provide
90 information on the potential for extreme precipitation associated with trop-
91 ical cyclones which can help emergency management and aid organizations
92 to plan for disasters. (Capsule Summary) A research to operations project in
93 service of developing better operational subseasonal forecasts.

94 **1. Introduction**

95 Early warning of heat waves, extreme cold, flooding rains, flash drought, or other weather haz-
96 ards as far as four weeks into the future could allow for risk reduction and disaster preparedness,
97 potentially preserving life and resources. Less extreme, but no less important, reliable probabilistic
98 forecasts about the potential for warmer, colder, wetter, or drier conditions at a few weeks lead are
99 valuable for routine planning and resource management. Many sectors would benefit from these
100 predictions, including emergency management, public health, energy, water management, agricul-
101 ture, and marine fisheries (see White et al. (2017) for a review of potential applications). However,
102 a well-known “gap” exists in our current prediction systems at this subseasonal timescale of two
103 weeks to one month. This gap falls between the prediction of weather, where atmospheric initial
104 conditions contribute to skillful forecasts, and seasonal prediction, which is guided by slowly-
105 evolving surface boundary conditions such as sea surface temperatures and soil moisture (National
106 Research Council (2010); Brunet et al. (2010); National Academies of Sciences, Engineering and
107 Medicine (2017); Mariotti et al. (2018); Black et al. (2017); DelSole et al. (2017)).

108 The potential for successful prediction at the subseasonal timescale has been established for
109 some regions and seasons (e.g. Pegion and Sardeshmukh (2011); DelSole et al. (2017); Li et al.
110 (2015)), but it is not clear whether the full potential predictability has been realized. Additionally,
111 many questions remain regarding our fundamental understanding of the physical processes giving
112 rise to predictability, as well as how best to design, build, post-process, and verify a subseasonal
113 prediction system. Amidst these questions, the United States National Oceanic and Atmospheric
114 Administration (NOAA) was mandated to begin issuing week 3-4 outlooks for temperature and
115 precipitation. NOAA has for many years released official outlooks for one week, two weeks, one

116 month, and three-month averages; week 3-4 prediction is a new area with many unique research
117 and development concerns.

118 The Subseasonal Experiment (SubX), a research-to-operations project, was launched to fulfill
119 both the immediate need for real-time subseasonal prediction guidance and to allow for the explo-
120 ration of relevant research questions, in order to develop more skillful and useful subseasonal pre-
121 dictions in the future. SubX takes a multi-model ensemble approach and includes global climate
122 prediction models from both operational and research centers. As a research database designed
123 around operational standards, SubX improves our ability to directly answer research questions in
124 the service of developing better operational forecasts.

125 Combining models together into multi-model ensembles has been a successful technique to
126 improve forecast quality for weather and seasonal predictions (e.g. Hagedorn et al. (2005); Weigel
127 et al. (2008); Kirtman et al. (2014); Krishnamurti et al. (2000); Krishnamurti et al. (1999)). The
128 skill improvement comes from two sources: first, the collection of a larger ensemble of model
129 predictions than that available from any individual forecast system, allows for a better estimation
130 of forecast uncertainty, probability distribution, and signal-to-noise ratio; equally advantageous
131 is so-called “complementary skill,” or the additive skill from the different models. Also, as new
132 versions of constituent models are introduced to the ensemble, a multi-model system can evolve
133 faster than the typical improvement cycle for a single model. Examples of currently existing
134 multi-model systems include the North American Multi-Model Ensemble (NMME, Kirtman et al.
135 (2014)) and European Seasonal to Interannual Prediction (EUROSIP; Mishra et al. (2018)), both
136 seasonal forecast systems, and the North American Ensemble Forecast System (NAEFS, Candille
137 (2009); Candille et al. (2010)), which produces forecasts out to 14-days.

138 **2. The SubX Database**

139 SubX provides a publicly available database of seventeen years of historical re-forecasts (1999-
140 2015), plus more than 18 months of real-time forecasts from seven US and Canadian modeling
141 groups. All forecasts include daily values for at least 32 days beyond the initialization date. See
142 Table 1 for model descriptions and Appendix A for protocol details.

143 SubX has two unique aspects that distinguish it from other subseasonal forecast databases, such
144 as the World Weather Research Programme (WWRP)/World Climate Research Program (WCRP)
145 Subseasonal to Seasonal (S2S) Prediction Project (Robertson et al. (2015); Vitart et al. (2017)).
146 The first of these is the inclusion of research models alongside operational models from NOAA and
147 Environment and Climate Change Canada, facilitating feedback between research and operations
148 on model development. A second distinction is the almost immediate availability of forecasts,
149 allowing for use in real-time applications, including the NOAA Climate Prediction Center's week
150 3-4 outlooks. This aspect of SubX has provided forecasters with additional forecast guidance,
151 and allows for a research experiment to assess and guide best practices and priorities for real-time
152 predictions.

153 **3. How Skillful are Subseasonal Predictions with the SubX Models?**

154 In addition to physical scientific questions, the design of a subseasonal multi-model ensemble
155 mean (MME) presents practical complications beyond those of a weather or seasonal system. For
156 example, a common challenge for subseasonal re-forecast databases is that different models are
157 initialized on different days, making it difficult to produce a traditional multi-model ensemble,
158 typically made by averaging all forecasts from the same start date (Vitart et al. 2017). The im-
159 plications of this practical consideration are explored in the SubX project, wherein forecasts from
160 different start dates over the course of one week are combined and verified for the same verifi-

161 cation period. This methodology, called a lagged average ensemble, has been used in weather
162 and seasonal forecasting with single models (e.g. Hoffman and and (1983);Kalnay and Dalcher
163 (1987);Trenary et al. (2018);DelSole et al. (2017)).

164 Here, we evaluate the skill of the week-3 averages (average of days 15-21 of the forecast period)
165 over all seasons from the individual SubX models' ensemble means, as well as the MME, for
166 anomalous temperature and precipitation over land. Skill is assessed using the anomaly correlation
167 coefficient (ACC; Wilks 2006). The ACC provides information about how well the variability
168 of the forecasted anomalies matches the observed variability, and is calculated as the temporal
169 correlation of temporal anomalies at each gridpoint (Becker et al. (2014)), shown as maps in
170 (Figures 1 and 2). Details of the observational datasets used for verification are provided in Sidebar
171 2 and details of the methodology used for making climatology and anomalies are provided in
172 Appendix B.

173 The skill of the individual models and MME are also compared to a forecast based on the per-
174 sistence of the initial conditions, where the anomaly at the initial forecast time is predicted to
175 continue throughout the forecast. Week-3 is beyond weather timescales, and predictability due to
176 atmospheric initial conditions is largely absent (Lorenz (1965); Lorenz (1969)). However, pre-
177 dictability due to slower varying components of the climate system, such as the global warming
178 trend or the El Nino - Southern Oscillation present in the initial anomaly will have little change
179 over a 3-week forecast. Therefore, skill due to these mechanisms would be present in a persistence
180 forecast. Comparison of forecast skill with the skill of a persistence forecast provides insights into
181 whether forecast skill can be attributed to any of these slowly varying components.

182 Over all months, positive ACC for temperature forecasts is present over much of the land for
183 most models and the MME, with substantial regional variations (Figure 1). The ACC of the in-
184 dividual models and the MME are higher than the skill of a persistence forecast, indicating that

185 there is skill from sources other than the trend and/or ENSO (Figure 1). While skill here is shown
186 for the 15-21 day average forecasts for the individual models, the MME is produced from lagged
187 averaged forecasts, and contains older model initializations (see Appendix C for details). How-
188 ever, the MME shows skill improvement over the individual models. For precipitation, anomaly
189 correlation maps for week-3 indicate that the only region of statistically significant skill when
190 calculated over all months is in Brazil (Figure 2). This region of precipitation skill is consistent
191 across the individual models and has higher skill than a persistence forecast; again, the MME has
192 higher ACC than individual models, despite the inclusion of older model initializations.

193 While the multi-model ensemble mean methodology improves skill over the individual models,
194 on average, skill at subseasonal timescales is low. However, there is evidence that skill varies
195 over time. For example, there is seasonal dependence of skill for North America with winter
196 being more skillful than summer (e.g., DelSole et al. (2017)). Skill also varies from year-to-year.
197 This is evident in the SubX MME skill of spatial correlations of North America temperature and
198 precipitation anomalies, which exhibits substantial variation with time (Figure 3). At times, the
199 ACC exceeds 0.5, a common threshold for “useful” skill, while at other times, the ACC is zero
200 or even negative. This indicates there may be potential for higher skill forecasts at certain times,
201 called “forecasts of opportunity”. While a thorough diagnosis of these higher skill periods is
202 outside the current scope, in the next section we examine some potential sources of subseasonal
203 prediction skill.

204 **4. Subseasonal Sources of Predictability**

205 Subseasonal predictability is likely influenced by a number of modes of climate variability that
206 vary on timescales of weeks, such as the Madden-Julian Oscillation (MJO, Madden and Julian
207 (1971); Madden et al. (1972)) or North Atlantic Oscillation (NAO; Hurrell et al. (2010)). Several

208 studies have suggested these modes may be predictable on subseasonal timescales, and present
209 potential sources of predictability, allowing for the identification of “forecasts of opportunity”
210 (National Research Council (2010); National Academies of Sciences, Engineering and Medicine
211 (2017)) That is, due to known teleconnections from the subseasonal modes, model forecasts may
212 be more skillful when these modes are active, allowing for more confidence in their output. Cor-
213 rectly simulating and predicting these processes and their impacts are the key to successful sub-
214 seasonal prediction.

215 *a. The Madden-Julian Oscillation*

216 The Madden-Julian Oscillation, the largest source of tropical variability on subseasonal
217 timescales, is a system of large-scale convective anomalies and associated circulation anomalies
218 that propagates eastward from the tropical Indian Ocean and affects global weather (e.g. Cassou
219 (2008); Lin et al. (2009) Guan et al. (2012); Mundhenk et al. (2018), Zhang (2013); see Stan et al.
220 (2017) for a review of MJO teleconnections).

221 Therefore, accurate simulation and prediction of the MJO and its propagation is crucial to extend
222 global subseasonal forecast skill. Observed convective anomalies associated with the MJO, as
223 indicated by outgoing longwave radiation (OLR) anomalies, propagate eastward from the Indian
224 Ocean (60°E) to the Dateline (Figure 4, top). Most of the SubX models can reproduce the observed
225 propagation of the OLR anomalies in week-3 forecasts, although some appear to have difficulty
226 propagating them across the Maritime Continent, approximately 120°E – a well known challenge
227 for global climate models (Kim et al. 2018).

228 A common measurement of the MJO uses two “Realtime Multivariate MJO Indices” that com-
229 bine OLR with winds at 200 and 850hPa and measure the strength and phase of the MJO (RMM,
230 Wheeler and Hendon 2004). A model’s ability to predict the combination of both RMM indices

231 provides insight into its overall capability to simulate and predict the MJO (Rashid et al. 2010).
232 Most of the individual SubX models have ACC for these indices >0.5 out to week 4 (Figure
233 4). This range of prediction skill is similar to the MJO skill of the WWRP/WCRP S2S models,
234 with the exception of the skill of the European Centre for Medium Range Weather Forecasting
235 (ECMWF) model, which far exceeds that of any other S2S or SubX model (Vitart 2017). The
236 SubX MME has similar skill to the best individual models for weeks 1-3 and higher skill at week
237 4. The MME is consistent with the ECMWF model from the S2S database, which has ACC for
238 RMM indices of 0.6 out to 28 days (i.e. the end of the 4 week period) (Vitart 2017).

239 It is of interest that the two most skillful SubX models at weeks 3 and 4 have very different con-
240 figurations. The GMAO-GEOS model is a fully coupled atmosphere-ocean-land-sea ice model
241 that has contributed to the monthly and seasonal NMME; this model contributes 4 ensemble mem-
242 bers in SubX. In contrast, the base model of the EMC-GEFS is a numerical weather prediction
243 atmosphere-land model forced with prescribed sea surface temperatures (SST) and contributes 11
244 ensemble members to the SubX re-forecasts. The comparable MJO prediction skill from these
245 two models illustrates an open question of S2S ensemble prediction, as the varying contributions
246 of model configuration, ensemble size, and the role of a fully interactive ocean model remain to
247 be clarified.

248 *b. The North Atlantic Oscillation*

249 The North Atlantic Oscillation (NAO), indicated by an oscillation in surface pressure and geopo-
250 tential height between the Iceland low and the Azores high, is a key source of extratropical sub-
251 seasonal variability (Hurrell et al. (2010)). The NAO has been linked to periods of extreme win-
252 ter weather on subseasonal timescales in Eastern North America and Europe (e.g Hurrell et al.
253 (2010)). Until recently, there was little evidence that the NAO could be skillfully predicted be-

254 yond weather timescales (e.g. Johansson (2007); Kim et al. (2012)); however, recent studies have
255 found that the United Kingdom Meteorological Office seasonal prediction system can produce
256 skillful monthly predictions of the NAO up to one year into the future using large ensembles (>20
257 members) and long re-forecasts (~ 40 years) (Scaife et al. (2014); Dunstone et al. (2016)).

258 Given both this newly discovered predictability of the NAO and its potential impacts on extreme
259 weather at S2S timescales, we evaluate the skill of NAO prediction by the SubX models using a
260 daily index representing the NAO (see Sidebar 2 for details of the index calculation). All individual
261 models, as well as the MME, exhibit $ACC > 0.5$ when forecasting this NAO index through week-
262 2 (average of days 8-14), using initialization dates from the northern hemisphere winter (Figure
263 6). While ACC drops for forecasts of week-3 and week-4, one individual model has $ACC = 0.5$,
264 while all models have significant skill at week-3. Only for forecasts of week-4 does the ACC of
265 the MME clearly exceed any individual model.

266 **5. Real-time Forecasts**

267 The SubX participating modeling centers have produced new forecasts each week since July
268 2017. These are provided to the NOAA Climate Prediction Center (CPC) as dynamical guidance
269 for their official week 3-4 temperature outlook and experimental week 3-4 precipitation outlook,
270 issued every Friday. The CPC outlooks show regions of increased probability of above-normal
271 or below-normal temperature and precipitation, and regions where the probabilities of above or
272 below normal are equally likely (i.e. 50/50 chance). Using guidance from the realtime SubX
273 forecasts for 2m temperature, precipitation, and 500hPa geopotential heights as well as other tools,
274 NCEP/CPC forecasters produce the official maps for week 3-4 outlooks. For example, the maps
275 for July 6, 2018 temperature and precipitation show above- and below-normal areas consistent

276 with the corresponding probabilities and anomalies from the SubX multi-model ensemble mean,
277 demonstrating the use of SubX in the NCEP/CPC official outlooks (Figure 7).

278 We also evaluate the skill of the SubX real-time 2m temperature forecasts produced from July
279 2017 - Dec 2018. Overall the real-time forecasts have similar skill to the re-forecasts (Figure 8;
280 Figure 1). The real-time forecasts are also substantially more skillful over the continental US than
281 the re-forecasts. Skill is expected to vary from year to year, depending on the presence or absence
282 of major modes of climate variation, land surface conditions, and other factors. The sources of
283 the higher skill over the continental US during this period remain to be identified, but could come
284 from the trend, ENSO, or other sources.

285 **6. Real-time Prediction of Hazardous and Extreme Events**

286 Disaster preparedness and emergency management is one sector for which prediction of haz-
287 ardous and extreme weather on S2S timescales is of particular interest (e.g. White et al. (2017)).
288 As an example of how SubX real-time forecasts can potentially provide information useful to this
289 sector, Figure 9 shows precipitation forecasts associated with Hurricane Michael for the SubX real-
290 time forecasts. These forecasts were issued on Sep 20, 2018, prior to the formation of Michael,
291 and were valid for the two week period of Oct 6-19. All SubX models indicated the potential
292 for precipitation anomalies in this period in excess of 50mm over the two week period along a
293 line stretching from southwest to northeast across Florida at 3-weeks lead time. Tropical storm
294 Michael formed on Oct 7 and made landfall as a hurricane along the Florida panhandle on Oct
295 10. The storm tracked across the panhandle and through the southeastern US, delivering heavy
296 rainfall. Although the actual track is not accurately predicted at this lead-time, the forecast for a
297 potential tropical cyclone and associated enhanced precipitation during this period is useful infor-
298 mation, potentially helping emergency managers to plan and aid organizations to stage supplies

299 in anticipation of a disaster. A similar early picture was provided by SubX for Hurricane Harvey.
300 SubX models forecasted anomalously high precipitation over the week spanning August 24-31 in
301 Texas and Louisiana at 3-4 week lead times (not shown). Case studies such as these add to our
302 understanding of the prediction and predictability of extreme events, especially in the context of a
303 database designed for operational considerations.

304 **7. Concluding Remarks**

305 SubX provides a comprehensive, publicly available research infrastructure in the service of de-
306 veloping better S2S forecasts. It consists of a database of seven global models that have produced
307 a suite of 17 years of historical re-forecasts and also have provided weekly real-time forecasts
308 since Jul 2017. The inclusion of research and operational models and availability of both real-
309 time and retrospective forecasts in SubX provides a unique contribution to community efforts in
310 subseasonal predictability and prediction.

311 With the availability of subseasonal re-forecast databases such as SubX and WWRP/WCRP S2S,
312 it is now possible for the research community to extensively explore the full range of subseasonal
313 predictability, and to develop methodologies for S2S post-processing including forecast calibration
314 and multi-model ensemble weighting (e.g. Vigaud et al. (2017a); Vigaud et al. (2017b)). Addi-
315 tionally, the contribution of individual models to a MME can be explored comprehensively. The
316 inclusion of research models in SubX makes it possible for this research to directly feedback to
317 model development. The availability of real-time subseasonal forecasts in SubX also enables the
318 development of real-time forecast demonstration prototypes for applications use in various socio-
319 economic sectors. We hope that the community will use the SubX database to provide input into
320 pressing questions in S2S predictability and prediction, design tools relevant to decision making
321 on the S2S timescale, and test and compare model developments for better S2S predictions.

322 Some important questions regarding S2S predictions remain unanswerable with the current
323 datasets, including SubX. For example, in a second phase of SubX, with a more strict protocol
324 aligning model initialization dates, it would be easier to combine models into a MME and we
325 could better untangle questions about the contributions of individual models. Another improve-
326 ment for a second phase would be to produce a longer re-forecast to evaluate the number of years
327 needed to robustly quantify S2S skill and identify forecasts of opportunity.

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346 APPENDIX A

347 **SubX Protocol**

348 The SubX protocol required that each modeling group adhere to a rigid scope of retrospective and
349 real-time forecasts. The groups agreed to produce 17 years of re-forecasts out to a minimum of 32
350 days for the years 1999-2015. Initialization was required at least weekly, and a minimum of three
351 ensemble members were required, although more were encouraged. Since the land-surface (e.g.
352 soil moisture) is an important source of subseasonal predictability (Koster et al. (2010); Koster
353 et al. (2011)), all models were required to include a land surface model and initialize both the
354 atmosphere and land. Additionally, coupled ocean-atmosphere models were also required to ini-
355 tialize the ocean. The SubX project has also performed more than one year of real-time forecasts.
356 During this demonstration period, forecasts were required to be made available to NCEP/CPC
357 by 6pm every Wednesday. This requirement was relaxed to 8am Thursday partway through the
358 real-time demonstration period. All data were provided on a uniform $1^{\circ} \times 1^{\circ}$ longitude-latitude grid
359 as full fields to both NCEP/CPC for their internal use and the International Research Institute for
360 Climate and Society Data Library (IRIDL) for public dissemination (Kirtman et al. 2017).

361 APPENDIX B

362 **Climatology and Bias Correction**

363 A forecast is typically initialized with an analysis in which observations have been assimilated,
364 thereby constraining the initial state to represent the observed state as closely as possible. As
365 the forecast time increases, the model state on average moves from the observed climate towards

366 a model-intrinsic climate, which is typically biased. Therefore, it is common practice in S2S
367 predictions to estimate and remove the mean forecast bias using a set of re-forecasts (e.g. Zhu
368 et al. (2014)). Additionally, the skill of forecasts at S2S timescales is typically evaluated in terms
369 of anomalies or differences from the mean climate, thus requiring a climatology based on re-
370 forecasts. Both of these needs are met by determining the model climatology as a function of
371 lead time and initialization date. For seasonal predictions using monthly data, it is typical to
372 calculate the model climatology as a multi-year average for each forecast start month and lead
373 or target time (Tippett et al. 2018). However, calculation of the climatology is not trivial due to
374 differences in initialization day and frequency among models. For example, some forecast models
375 are initialized on the same Julian days every year while others are initialized on a day-of-the-
376 week schedule, meaning that the Julian initialization dates shift from year to year. In the first
377 case, the 17-year re-forecast period yields 17 model runs on some calendar dates and none on the
378 rest. In the second case, 2-3 model runs (but not more) are available for each day of the year
379 from which to determine the climatology. An additional challenge for the SubX project was that a
380 climatology was needed to produce bias-corrected forecast anomalies in real-time for NCEP/CPC
381 prior to the completion of the re-forecasts at some centers. The need to compute model climatology
382 adaptively will recur because some models will likely change during the forecast phase due to
383 routine model improvements. Additionally, many operational models used by the NCEP/Climate
384 Prediction Center (CPC) only provide re-forecasts “on-the-fly” (e.g., European Center for Medium
385 Range Weather Forecasting and Environment and Climate Change Canada ensembles generate re-
386 forecasts for a single day-of-the-year with each real-time forecast initialization).

387 To compute the climatology, the first step is to calculate ensemble means for individual days
388 of each forecast run. For most groups, ensembles are produced by averaging initialization dates
389 from different hours of the same initialization day; these are averaged to yield ensemble means

390 for the 24-h period spanning each forecast day. In the case of the NAVY-ESPC, which produces
391 ensemble means over runs started on four consecutive days because ocean data assimilation is
392 based on a 24-hour data cycle, the ensemble mean consists of a single member for each day.
393 Next, for each day of the year (1-366), a multi-year average of the ensemble means is calculated.
394 Depending on how model runs are scheduled, this may not produce a climatology for each day
395 of the year for some models. Finally, a triangular window is applied to the (fairly noisy as well
396 as sparse in some cases) climatology, meaning that weight decreases linearly with distance from
397 the center point. A smoothing window of 31 days (+/- 15 days) is applied in a periodic fashion
398 such that December smoothing includes January values and vice versa. This approach means
399 that the forecast climatology can be computed from a partial re-forecast database whereby only
400 reforecasts with nearby initializations are required. Due to drift from the initial quasi-observed
401 state to the model's own internal mean state, the climatology for a given calendar day is expected
402 to be different for different lead times. Therefore, the above procedure is performed for each
403 lead time and each model individually. Removal of this climatology from the corresponding full
404 fields produces anomalies and effectively performs a mean bias correction (Becker et al. 2014).
405 Climatologies have been computed for many variables following this procedure and are available
406 from the IRIDL.

407 Another common methodology is to fit harmonics to the data (Saha et al. (2014); Tippett et al.
408 (2018)) Both our smoothing methodology and the fitting of harmonics can be viewed as a special
409 case of local linear regression (Tippett and DelSole (2013); see Hastie et al. (2009) for a review).
410 Mahlstein et al. (2015) previously proposed using local linear regression to compute climatologies
411 of daily data. Local linear regression estimates a simple function of the predictors using data close
412 to the desired climatology target in such a way as to yield a smooth function of the predictors.
413 Figure A1 below demonstrates that with synthetic data and a known climatology, the methodology

414 used in SubX (green line) produces a climatology very close to the one obtained with a harmonic
415 (red) using a similar number of years (16-years) and initial condition sampling (every 7-days) as
416 SubX.

417 APPENDIX C

418 **Multimodel Ensemble Mean**

419 Since the SubX models are initialized on different days, producing an MME becomes a challeng-
420 ing problem (e.g. Vitart et al. (2017)). In SubX, we choose to align the verification dates of each
421 model to produce a MME so that skill could be assessed for the same verification period in ob-
422 servations. Additionally, this choice reproduces well the setup for weekly real-time forecasting.
423 Following the same procedure used by NCEP/CPC for producing real-time forecasts, Saturday is
424 defined as the first day of a given week. All re-forecasts for all models that are produced during the
425 prior week (previous Friday through Thursday) are used to produce an MME forecast for weeks
426 1-4 individually, where week 1 is defined as the first Sat-Fri interval. Friday initializations are not
427 included in an attempt to mimic real-time forecast procedures. In real-time, forecasts provided
428 after Thurs 8am cannot be processed in time to be used by the forecasters because forecasters
429 must review forecast guidance on Thurs and issue the forecast on Fri. This procedure, which also
430 involves forming averages of daily forecasts over the appropriate week, is repeated for weeks 2
431 through 4. Weeks 3 and 4 are then averaged together to produce week 3-4 forecasts. Using this
432 procedure, a multi-model ensemble mean, equally weighted by model can be produced by aver-
433 aging the ensemble means of each of the models for their week 3-4 forecasts. There are some
434 potential drawbacks to this procedure. For example, some models will contribute older forecasts
435 to the MME than others, depending on their initialization date. The extent to which decreased
436 skill with longer lead time is balanced by increased ensemble size and model diversity in such

437 an ensemble remains an open research question to be addressed in future research. Additionally,
438 since the period over which forecasts are obtained is Sat-Thurs (a 6-day period, used to mimic
439 the 6-day period of real-time forecast initializations) and some of the models initialize once every
440 7 days, there are times when a model will not be included in the MME, depending on how the
441 re-forecast dates fall. For example, this occurs with the ECCC-GEM model in approximately 13%
442 of the weekly forecasts. Finally, in rare cases, it is not possible to produce a week 3-4 forecast for
443 the ECCC-GEM model since part of week 4 is not available due to the re-forecast initialization
444 day and 32-day re-forecast length.

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619 **C1. Sidebar 1: SubX Models**

620 Seven modeling groups participate in SubX. These are:

- 621 ● National Centers for Environmental Prediction (NCEP) Climate Forecast System, version 2
622 (NCEP-CFSv2);
- 623 ● NCEP Environmental Modeling Center, Global Ensemble Forecast System (EMC-GEFS);
- 624 ● Environmental and Climate Change Canada Global Ensemble Prediction System, Global En-
625 vironmental Multi-scale Model (ECCC-GEM);

- 626 • National Aeronautics and Space Administration, Global Modeling and Assimilation Office,
627 Goddard Earth Observing System (GMAO-GEOS);
- 628 • Navy Earth System Prediction Capability (NAVY-ESPC)¹;
- 629 • National Center for Atmospheric Research Community Climate System Model, version 4 run
630 at the University of Miami Rosenstiel School for Marine and Atmospheric Science (RSMAS-
631 CCSM4);
- 632 • National Oceanic and Atmospheric Administration, Earth System Research Laboratory,
633 Flow-Following Icosahedral Model (ESRL-FIM).

634 For additional details, see Table 1.

635 All groups have provided re-forecasts for the 1999-2015 period with the exception of ECCC-
636 GEM (1999-2014)² and most have provided additional re-forecasts to fill the gap between the end
637 of the SubX re-forecast period and beginning of the real-time forecasts in July 2017. Five of the
638 groups use fully coupled atmosphere-ocean-land-sea ice models (NCEP-CFSv2, GMAO-GEOS,
639 NAVY-ESPC, RSMAS-CCSM4, ESRL-FIM), while two groups use models with atmosphere and
640 land components forced with prescribed sea surface temperatures (EMC-GEFS, ECCC-GEM). In
641 the EMC-GEFS forecast system, SSTs are specified by relaxing the SST analysis to a combina-
642 tion of climatological SST and bias-corrected SST from operational NCEP-CFSv2 forecasts. The
643 longer the lead time, the more weight given to the bias-corrected NCEP-CFSv2 forecast SST. In
644 the ECCC-GEM forecast system, the SST anomaly averaged from the previous 30 days is persisted
645 in the forecast. The sea-ice cover is adjusted in order to be consistent with the SST change (see
646 Gagnon et al. (2013) for details). Most groups provide 4 ensemble members for the re-forecasts

¹The NAVY-ESPC model is referred to as NRL-NESM in the SubX database and the change of name to NAVY-ESPC in the database is currently
progress. NRL-NESM and NAVY-ESPC refer to the same model.

²ECCC-GEM runs its re-forecasts on the fly as part of their operational practice and will fill in 2015 at a later date

647 (NCEP-CFSv2, ECCO-GEM, GMAO-GEOS, NAVY-ESPC, ESRL-FIM) with some groups creat-
648 ing ensembles by combining different start times and others using their own ensemble generation
649 systems to produce initial conditions. Some groups provide additional ensemble members in real-
650 time (e.g. RSMAS-CCSM4, EMC-GEFS).

651 **C2. Sidebar 2: Verification Datasets**

652 Calculation of skill requires a verifying observational dataset. Where applicable, the datasets
653 used correspond to those used by NCEP/CPC for verification of their forecasts. For 2m tempera-
654 ture over land, the CPC daily temperature dataset with horizontal resolution of $0.5^\circ \times 0.5^\circ$ is used³.
655 These data are provided as a maximum (Tmax) and minimum (Tmin) daily temperature, thus the
656 average daily temperature is calculated as the average of Tmax and Tmin (Fan and Van Den Dool
657 2008). For precipitation over land, the CPC Global Daily Precipitation dataset ($0.5^\circ \times 0.5^\circ$) is used
658 (Xie et al. (2007); Chen et al. (2008)). Verification datasets are re-gridded to the coarser SubX
659 model resolution of $1^\circ \times 1^\circ$ prior to performing model evaluation. The years 1999-2014 are used
660 for evaluation of the 2m temperature and precipitation skill.

661 We also evaluate the skill of indices representing two subseasonal phenomena that are known
662 sources of S2S predictability - the Madden-Julian Oscillation (MJO) and the North Atlantic Os-
663 cillation (NAO). The MJO skill is evaluated using the real-time multivariate MJO index (RMM)
664 (Wheeler and Hendon 2004). The observed index is calculated using the NCEP/NCAR Reanal-
665 ysis (Kalnay et al. 1996) and NOAA Interpolated OLR (Liebmann and Smith 1996). The NAO
666 is defined as the projection of the Dec-Jan-Feb geopotential height at 500 hPa (Z500) onto the
667 leading North Atlantic EOF spatial pattern of Z500 (0° - 90° N, 93° W- 47° E). The observed NAO
668 index is calculated using 500 hPa geopotential height from NCEP/NCAR Reanalysis (Kalnay et al.

³The original data can be found at ftp://ftp.cpc.ncep.noaa.gov/precip/PEOPLE/wd52ws/global_temp/

669 1996). The years 1999-2014 are used for the evaluation of MJO and NAO skill. Both indices are
670 calculated daily and then averaged to weekly values for skill calculations.

671

672 **LIST OF TABLES**

673 **Table 1.** Summary of models participating in SubX. In the components column,
674 A=atmosphere, O=Ocean, I=sea ice, and L=land. Numbers in the ensemble
675 members column apply to re-forecasts and real-time forecasts unless indicated
676 by brackets [] which indicate a different number of ensemble members used
677 in real-time forecasts than those used in the re-forecasts. Initial day of week
678 refers to the day of the week the real-time forecasts fall on for each model.
679 Community column indicates SEAS for seasonal prediction community and
680 NWP for numerical weather prediction community. The R/O column indicates
681 O for operational models and R for research models. 33

682 TABLE 1. Summary of models participating in SubX. In the components column, A=atmosphere, O=Ocean,
683 I=sea ice, and L=land. Numbers in the ensemble members column apply to re-forecasts and real-time fore-
684 casts unless indicated by brackets [] which indicate a different number of ensemble members used in real-time
685 forecasts than those used in the re-forecasts. Initial day of week refers to the day of the week the real-time
686 forecasts fall on for each model. Community column indicates SEAS for seasonal prediction community and
687 NWP for numerical weather prediction community. The R/O column indicates O for operational models and R
688 for research models.

Model	Components	Members	Length (Days)	Years	Init Day	Community	R/O	Reference(s)
NCEP-CFSv2	A,O,I,L	4	45	1999-2016	W	SEAS	O	Saha et al. (2014)
EMC-GEFS	A,L	11 [21]	35	1999-2016	W	NWP	O	Zhou et al. (2016); Zhou et al. (2017); Zhu et al. (2018)
ECCC-GEM	A,L	4 [20]	32	1999-2014	Th	NWP	O	Lin et al. (2016)
GMAO-GEOS	A,O,I,L	4	45	1999-2015	Varies	SEAS	R	Koster et al. (2007); Molod et al. (2012); Reichle and Liu (2014); Rienecker et al. (2008)
NAVY-ESPC	A,O,I,L	4	45	1999-2016	Th,F,Sa,Su	NWP	R	Hogan et al. (2014); Metzger et al. (2014)
RSMAS-CCSM4	A,O,I,L	3 [9]	45	1999-2016	Su	SEAS	R	Infanti and Kirtman (2016)
ESRL-FIM	A,O,I,L	4	32	1999-2016	W	NWP	R	Sun et al. (2018a); Sun et al. (2018b)

689 **LIST OF FIGURES**

690 **Fig. 1.** ACC of 2m Temperature for week 3 (average of forecast days 15-21). Numbers in parenthe-
691 sis indicate the average ACC value over all land points in the domain. ACC values greater
692 than 0.12 are statistically different from zero at the 5% level using a t-test based on 219
693 degrees of freedom (17 years X 52 weeks = 884 forecasts / 4-week decorrelation estimate).
694 For reference, an ACC of 0.4 (0.2) means that the model can explain 16% (4%) of the ob-
695 served variance. The calculation is performed over re-forecasts with initial conditions for
696 all months from the years 1999-2014. 35

697 **Fig. 2.** ACC of precipitation for week 3 (average of forecast days 15-21). Numbers in parenthesis
698 indicate the average ACC value over all land points in the domain. ACC values greater
699 than 0.12 are statistically different from zero at the 5% level using a t-test based on 219
700 degrees of freedom (17 years X 52 weeks = 884 forecasts / 4-week decorrelation estimate).
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702 observed variance. The calculation is performed over re-forecasts with initial conditions
703 for all months from the years 1999-2014. South America is shown as the only region with
704 statistically significant skill. 36

705 **Fig. 3.** ACC between observed and SubX MME spatial anomalies of (a) 2m temperature and (b)
706 precipitation over North America [190°-305°;15°N-75°N] for the seventy-one MME Janu-
707 ary re-forecasts over the 1999-2015 re-forecast period. Blue dashed and dotted lines indi-
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709 **Fig. 4.** Week 3 (average of days 15-21) composite OLR (W/m²) averaged 5°S-5°N as a function
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711 amplitude >= 1. 38

712 **Fig. 5.** RMM index skill in terms of ACC for Nov-Mar initialized re-forecasts. 39

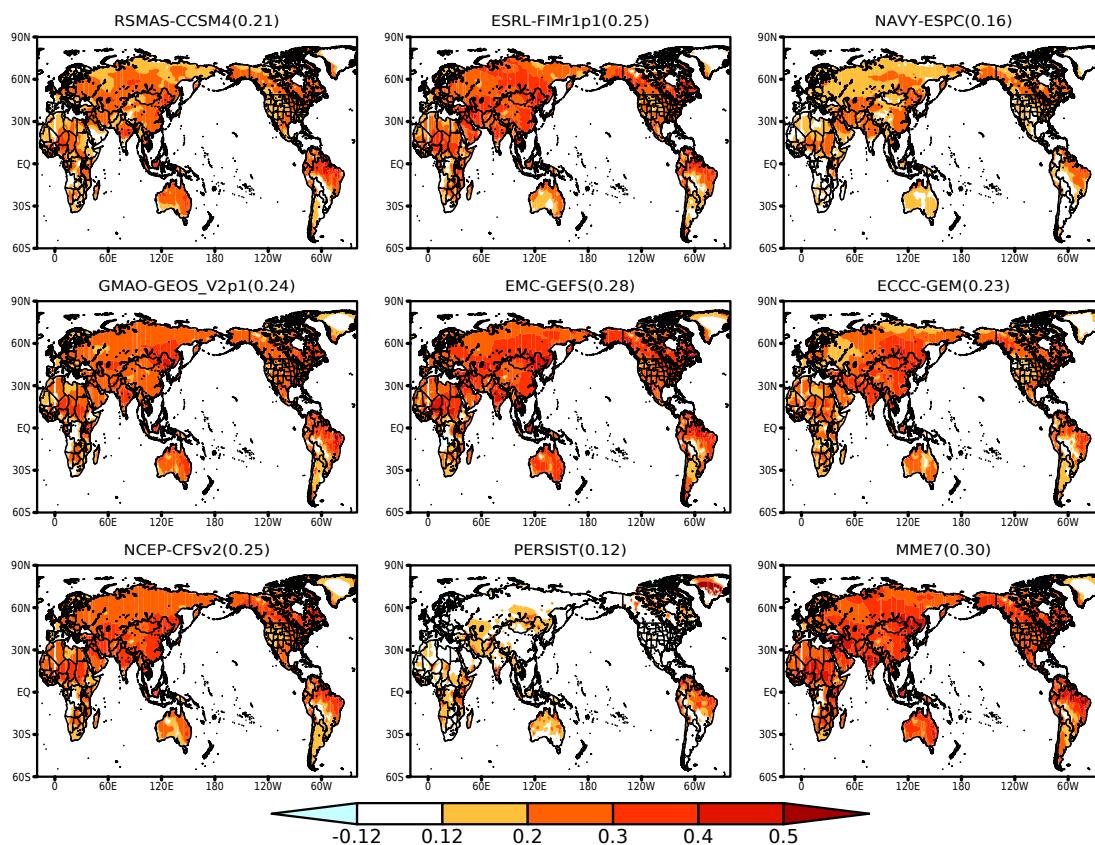
713 **Fig. 6.** NAO index skill in terms of ACC for Dec-Feb initialized re-forecasts. 40

714 **Fig. 7.** SubX real-time multi-model ensemble mean anomaly and probability guidance for (a,b)
715 temperature and (d,e) precipitation and corresponding CPC official week 3-4 outlook prod-
716 ucts for (c) temperature and (f) precipitation. Forecasts were made July 6, 2018. The tem-
717 perature (b) and precipitation (e) probability maps are for above-normal categories. 41

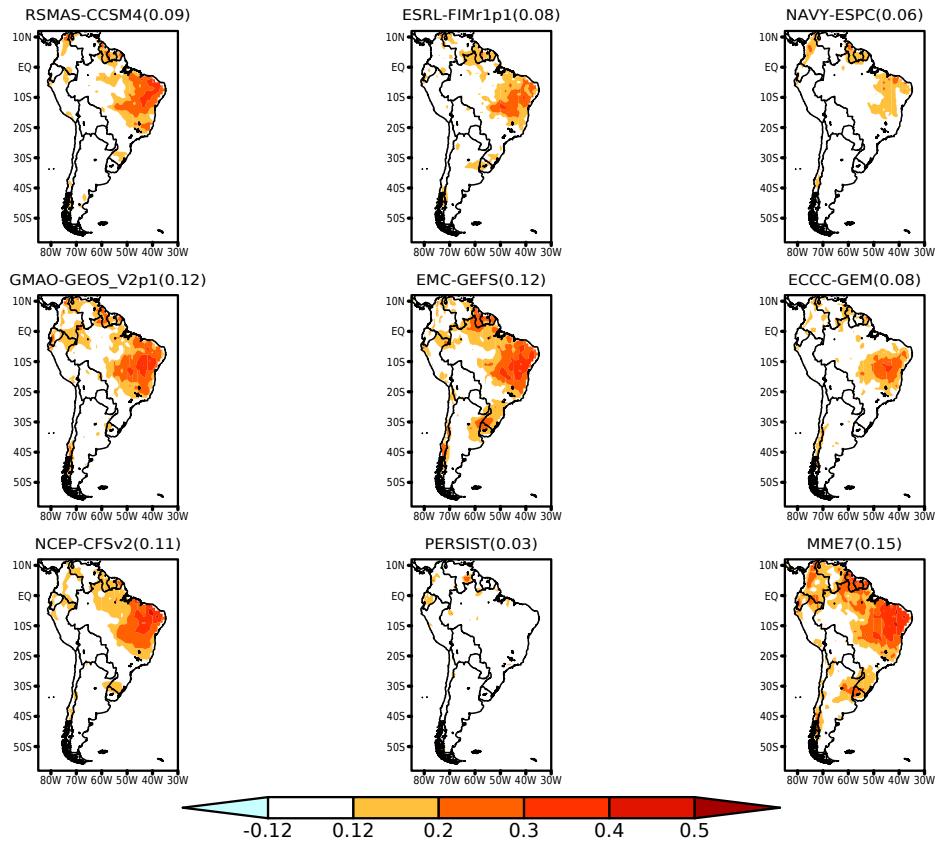
718 **Fig. 8.** SubX real-time 3-week (average of forecast days 15-21) forecast skill for 2m Temperature
719 over the period Jul 2017-Dec 2018. Numbers in parenthesis indicate the average ACC value
720 over all land points in the domain. 42

721 **Fig. 9.** SubX real-time forecasts for total precipitation anomalies (mm) for the 2-week period of
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723 7-12 is shown in the bottom right panel. Hurricane track data are from the initial tropical
724 cyclone position (i.e. TC Vitals) obtained from the National Hurricane Center. 43

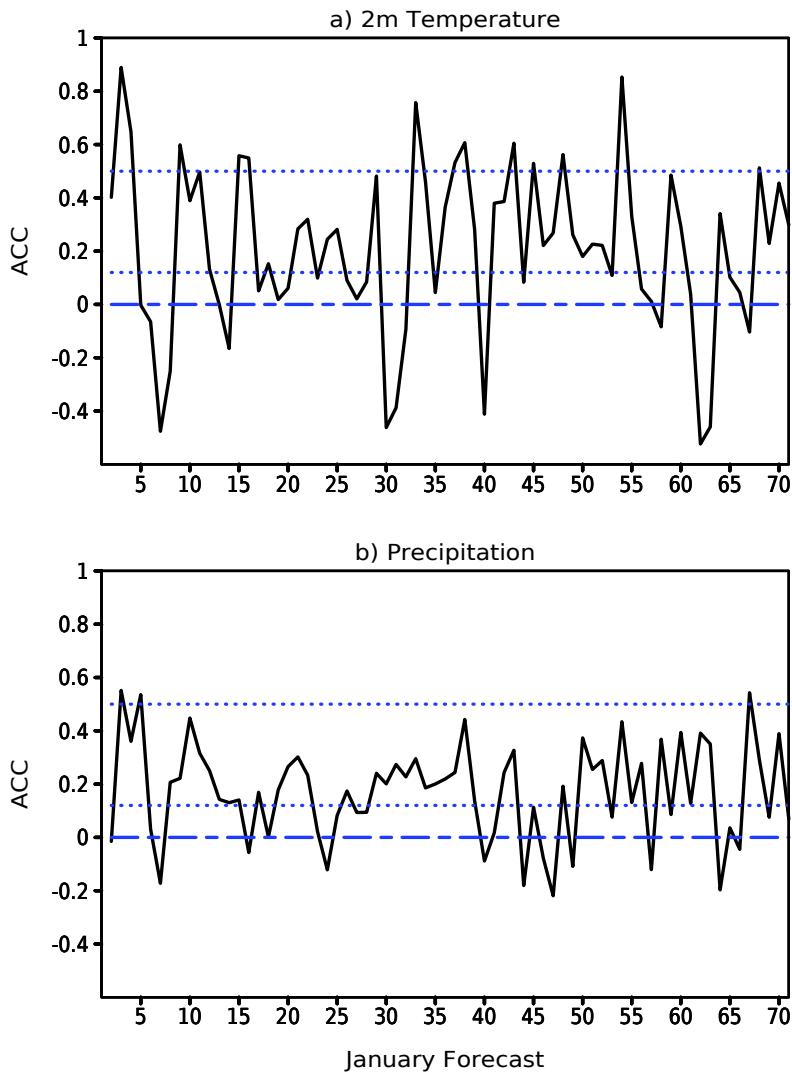
725 **Fig. A1.** Results of estimating the climatological mean of a synthetic time series. The mean of each
726 calendar day is shown as the gray curve (“sample mean”), a harmonic fit is shown as the red
727 curve (“harmonic”), and a local linear regression fit based on p = 1 and quadratic function p
728 = 2 are shown as the green and orange curves (using = 28) 44



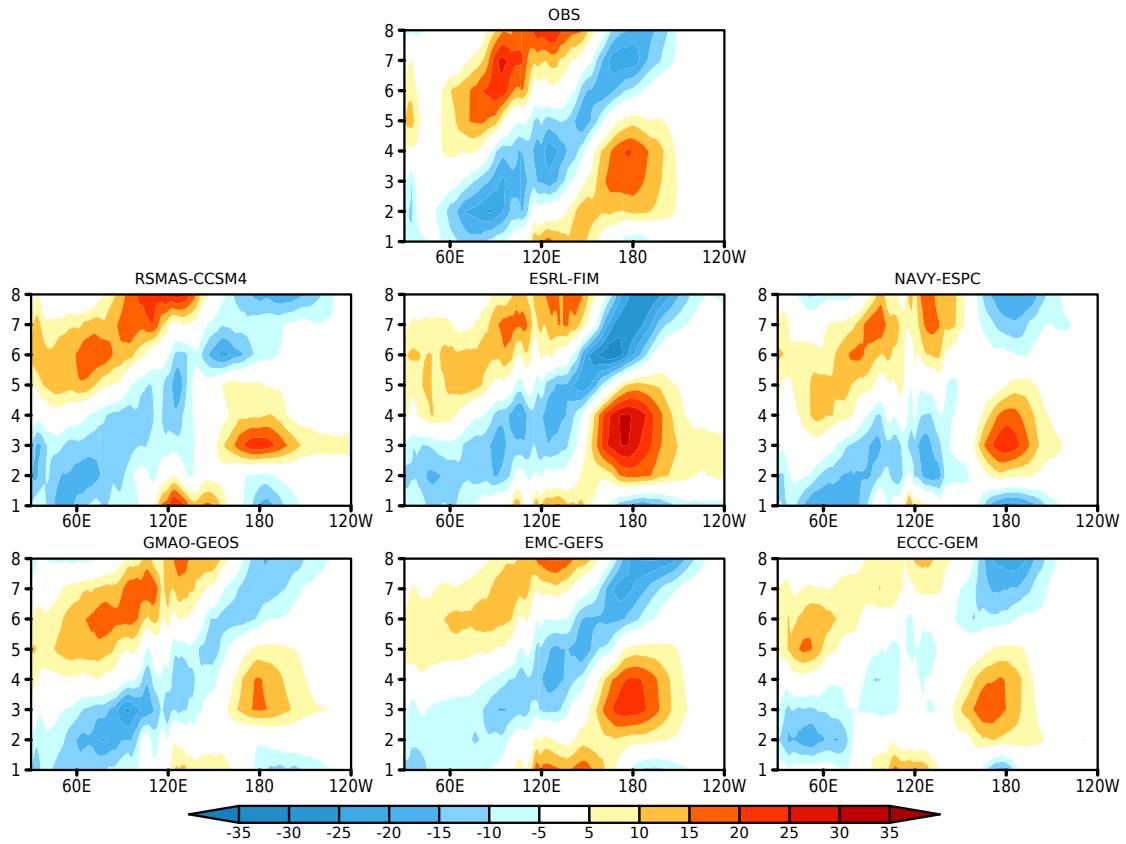
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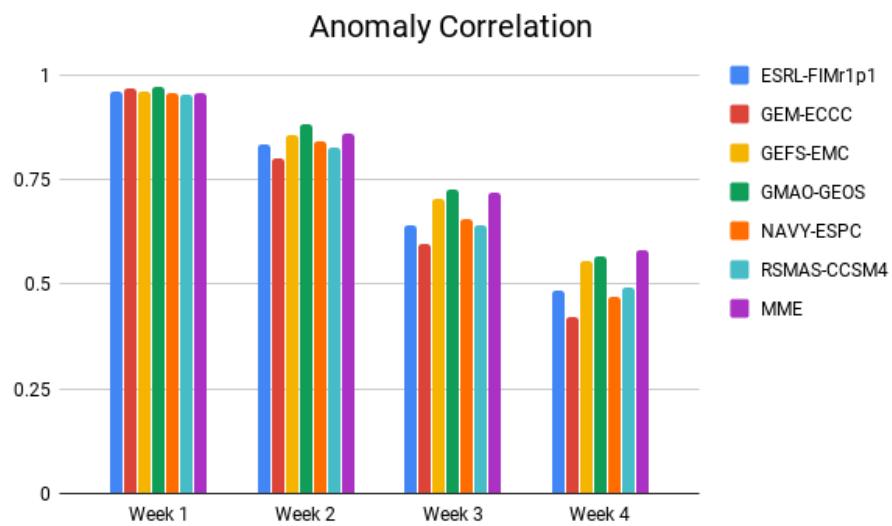


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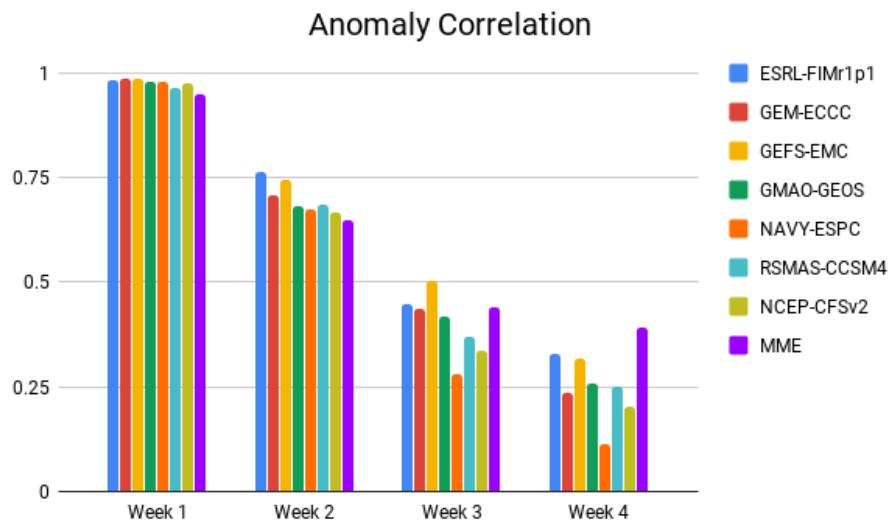
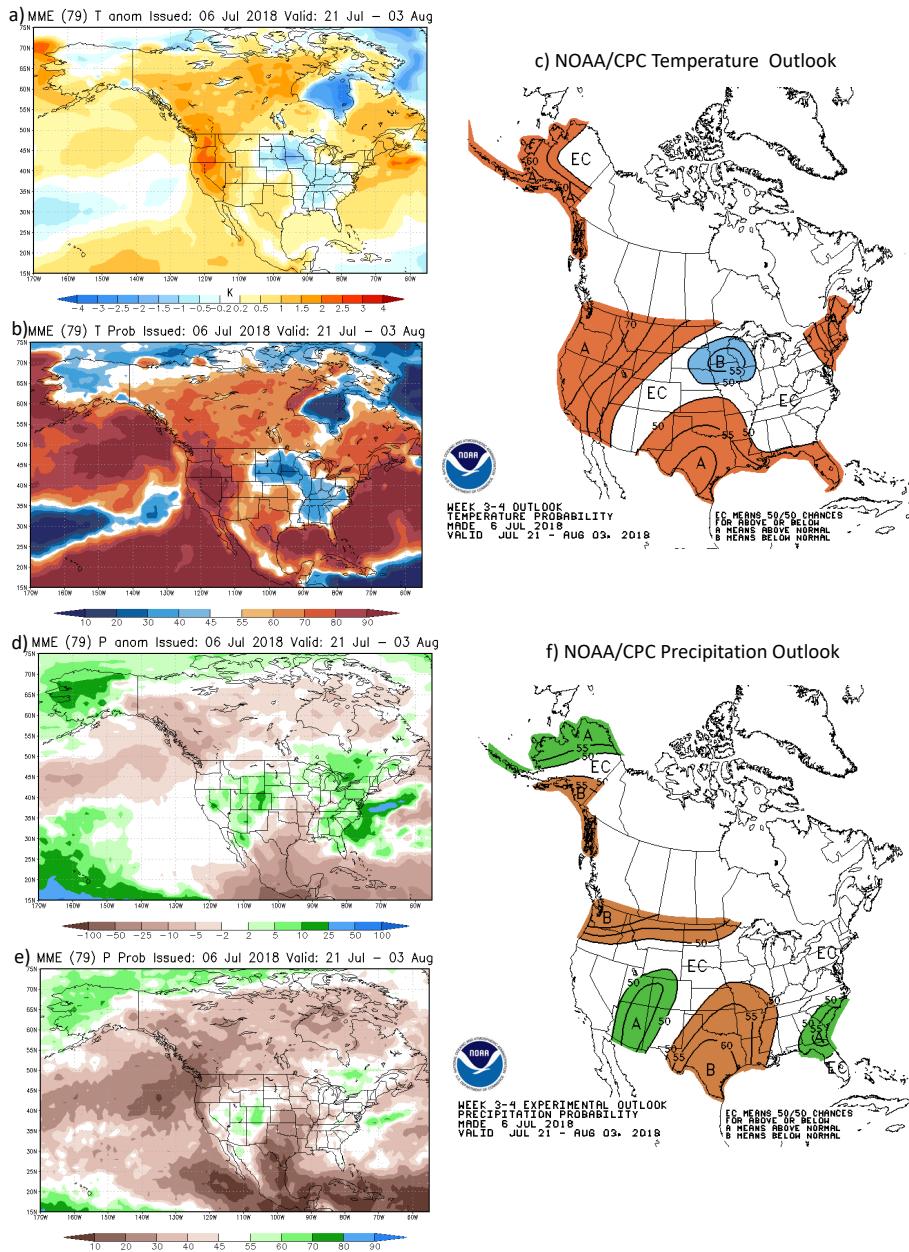
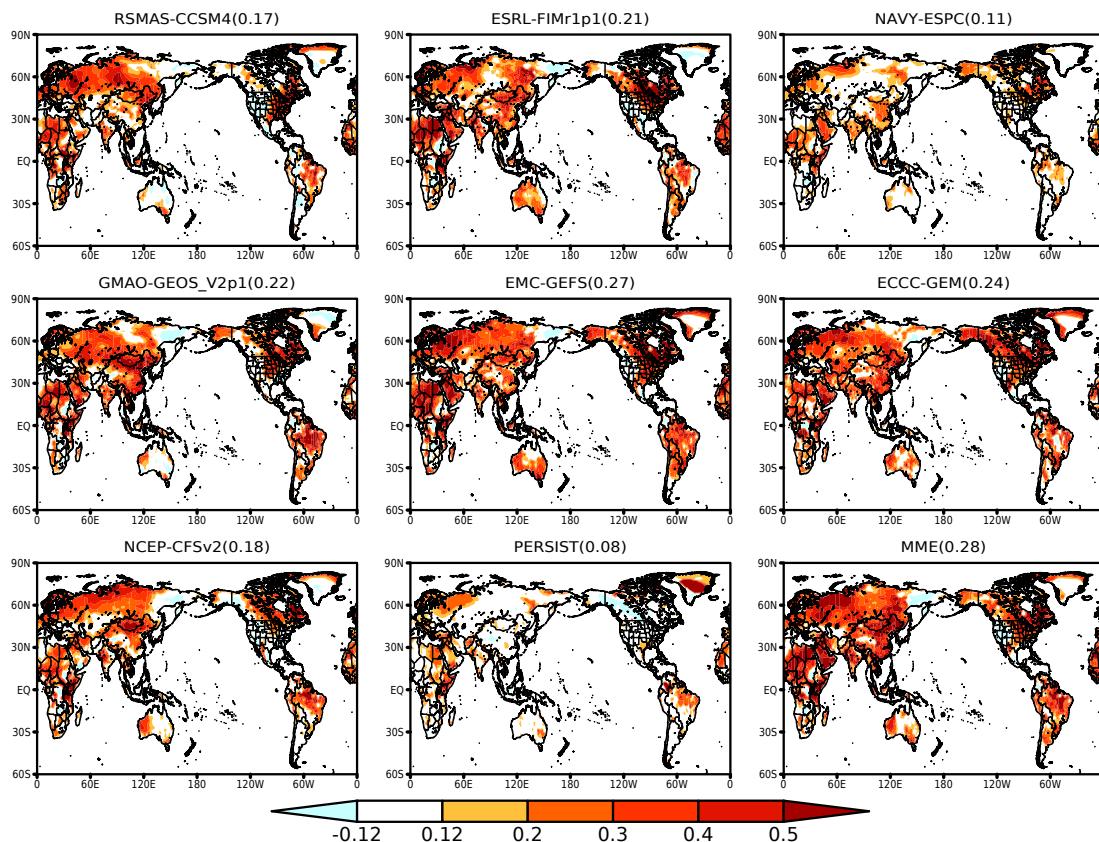


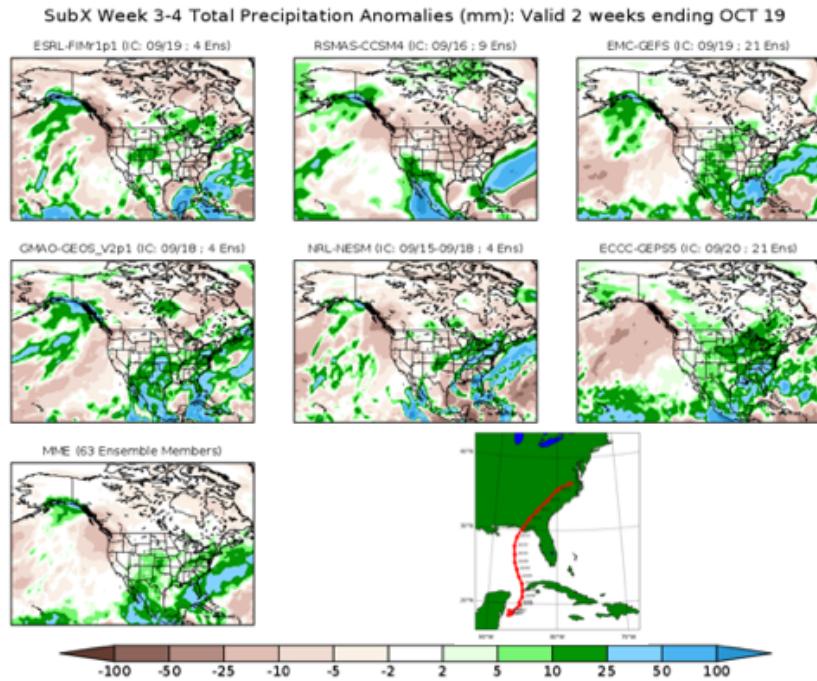
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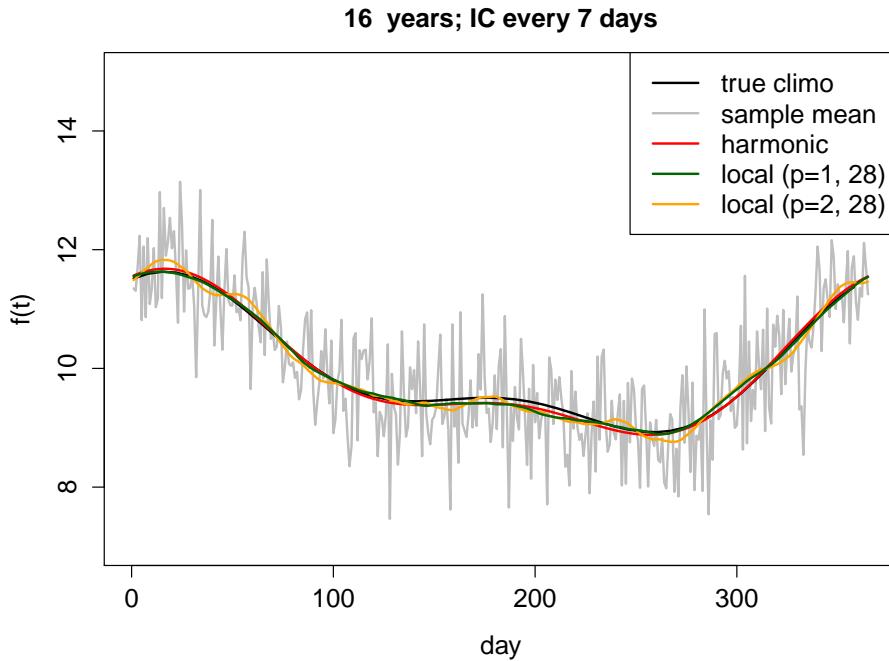
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